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Attorney's Docket No. 81-434

PATENT

#2  
MD5  
80602

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Paul W. Dent  
Serial No.: 09/718,022  
Filed: November 20, 2001  
For: RATE ONE CODING AND DECODING METHODS AND SYSTEMS

Date: July 23, 2002

Commissioner for Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT **Technology Center 2600**  
CITATION UNDER 37 C.F.R. § 1.97

Sir:

The documents listed on the attached PTO-1449 were cited in the Search Report of a corresponding PCT Application No. PCT/US01/29639. A copy of each document, including the Search Report, is enclosed. The Commissioner is hereby authorized to charge any fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

These items are cited herein because they were cited in the Search Report of the corresponding PCT application. The Examiner may also wish to consider the notations on the Search Report itself regarding the relevance of each item.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97(b)(3) and Section 609 of the MPEP.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on July 23, 2002.

Carey Gregory  
Date of Signature: July 23, 2002

**FORM PTO-1449** U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket Number  
8194-434

Serial No.  
09/718,022

**LIST OF DOCUMENTS CITED BY APPLICANT**

(Use several sheets if necessary)

Applicants: Paul W. Dent

Filing Date November 20, 2001

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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1	4,462,101	7/24/84	Yasuda et al	371	43	
	2	5,511,081	4/23/96	Hagenaur	371	43	

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	3	98/59423	12/30/98	PCT			abstract
	4	02/01729	1/3/02	PCT			yes

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	5	BUCH et al., "To compress or not to compress?", IEEE, 1996, pp. 198-203					
	6	MARTIN E. HELLMAN, "Convolutional Source Encoding", IEEE Transactions in Information Theory, November 1975, pps 651-656					
	7	Invitation to Pay Additional Fees with Partial International Search Report for Corresponding PCT Application No. PCT/US01/29639.					

EXAMINER  
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DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.